Appendix B - Risk Assessment

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		Trans	ctions 13	(CO) 3/	, sco, s	/ , /	ed Time	oned IP		
	Audit	ype lans	e ing ten	e ingtiste	de 109	Level Plant	d ⁽¹⁾	ill dilk		
	,dit	1 / 1 20	eighting interi	Market S. Ch.	alighti a	Le / ann	251	OME		
Service Name	All	/ 1	46,	467	de dis	1 8/10	/ ,401			
Buildings (security/fire/asbestos/gas/legionella)	Review	5	5	4	38	8	NYA	Ongoing 16	/17	
VAT	Review	5			UT		NYA			
Contract Managing and Monitoring	Review	5	5	2	34	9	Mar-11			
Private Housing Grants	Review	4	5	2	31	8	NYA	1	c/f if not completed	
Engineering	Review	3	4	2	25	10	NYA	1	c/f if not completed	
Box Office Computer System	Computer	5	3	3	30	5	Jul-09	postponed t	-	
Security of Data Movement	Computer	4	1	3	21	5	Nov-10	In 16/17 will	c/f if not completed	
W360	Computer	5	2	4	29	15	Sep 12			
Asset Management	Review	5	3	4	32	15	Nov-12	17/18		
Cafi - Debtors	Computer	5 5	1	4	26	10	Nov-12			
Cafi - General Ledger	Computer	5	1	3	24	4	Nov-12			
Theatres (Box office/FOH/ Marketing)	Review	5	3	2	28	20	Feb-13			
Cafi - Purchasing	Computer	5	1	4	26	25	Jul-13			
Housing (inc EHL contract)	Review	5	2	3	27	15	Oct-13			
Cafi - Creditors	Computer	5	1	4	26	15	Oct-13			
Health & Safety	Review	5	1	3	24	20	Oct-13			
Devolved Budgets	Review	3	2	4	23	10	Oct-13			
Land Charges & Searches	Review	4	2	4	26	5	Dec-13			
Community Grants	Review	4	1	3	21	10	Jan-14			
Tenancy Management	Review	4	5	2	31	10	Feb-14			
Information Governance inc records management		3	5	3	30	15	Feb-14			
Leaseholder Management and Recharges	Review	4	2	2	22	10	Feb-14			
Civil Contingency	Review	3	2	3	21	15	Mar-14			
Redoubt	Review	5	2	2	25	20	May 14			
Daily Cash Reconciliations at venues	Review	4 3	2 3	2	22	10	Jun-14			
Project Management Control	view/Comp	3	3	3	24	15	Jul-14			
Human Resources (Pers/recruit/training)	Review	5	2	3	27	15	Sep 14			
Catering	Review	5 4	3	4	29	10	Jan-15			
Sports and Community Centres	Review	5	2	2	25	10	Mar-15			
Petty Cash	Review	5 3	3	2	22	10	Mar-15			
GIS System & LLPG	Computer	3	3	3	24	5	Apl 15			
Public Services Network	Computer	3	2	3	21	10	Apl 15			
Conferences & Group Travel	Review	3	3	2	22	10	May-15			

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Open Spaces	Review	4	3	2	25	10	Jun-15	
Licenses - Entertainment & Taxi	Review	3	4	2	25	10	Jul-15	
Planning System	Computer	3	3	3	24	10	Jul-15	
Leasing & Licensing	Review	5	3	2	28	15	Aug-15	
Internet Controls	Computer	3	3	3	24	10	Aug-15	
Car Parking inc. Parking Permits	Review	3	2	3	21	I 10	Aug-15	
Telephones	Review	4	2 2	3	24	10	Sep-15	
Waste Contract	Review	4	1	4	23	10	Oct-15	
CHRIS Computer System	Computer	3	2	3	21	5	Oct-15	
Events & Attractions	Review	5	4	2	31	15	Nov-15	
Seafront Services	Review	2 5	4	2	22	10	Nov-15	
Procurement (Purchasing and contracts)	Review		3	2	28	10	Nov 15	
Software Compliance	Computer	3 3	3	2	22	5	Nov-15	
Business Continuity Planning (Incl IT)	Review	3	5	3	30	15	Jan-16	
Cafi - Bank Reconciliations	Computer	5	1	4	26	10	Jan-16	
Open Revenues	Computer	5 5 3	3	4	32	10	May-16	
Planning	Review	3	4	3	27	10	May-16	
Homelessness/Temp Accommodations	Review	4	3	3	27	10	Jul-16	
Rent and Deposit Loans	Review	3	3	3	24	8	Aug-16	
Access to Information	Review	3	2	3	21	15	Aug-16	
Right To Buy	Review	5	3	4	32	8	Oct-16	
Internet/intranet/telephone payments/PCIDSS	Computer	5	4	1	29	10	Nov-16	
Capital Programme	Review	5	1	2	22	l 15	Nov-16	
HEDP	Review	3	5	3	30	10	Dec-16	
Performance Management	Review	3	2	3	21	15	Dec 16	
IT Contract	Computer	3	1	1	14	10	Jul-08	In 16/17 will c/f to 17/18 if not completed
Change Controls	Computer	3	1	2	16	5	Nov-09	In 16/17 will c/f to 17/18 if not completed
Insurances	Review	3	1	2	16	10	Feb-12	
Corporate Strategy/Priorities/Plan	Review	3	1	3	18	10	Jun-12	
Development Control & S106	Review	4	1	2	19	20	Nov-12	
Partnerships	Review	4	1	2	19	15	Nov-12	
Elections and Electoral Register	Review	3	1	4	20	10	Dec-12	
Postal Services	Review	3	1	4	20	10	Mar-13	
APP	Computer	3	1	3	18	10	Mar-13	
Covalent	Computer	3	1	2	16	5	Mar-13	
Cemeteries & Crematorium	Review	5	1	1	20	10	Jun-13	
Imp. Grants (DFG's) & HMO Licencing	Review	3	1	1	14	10	Aug-13	
Safeguarding	Review	2	1	2	13	10	Mar-14	

Appendix B - Risk Assessment

Officers Expenses	Review	4	1	2	19	4	Apr-14		
Destination Management System (DMS)	Computer	3	1	1	14	5	Apl 14		
Food Safety & Hygiene	Review	4	2	1	20	1	Jun-14		
Risk Management	Review	3	1	2	16	15	Jul-14		
IT Policies and Strategy	Computer	3	1	3	18	5	Aug-14		
BACAS Crematorium System	Computer	3	1	1	14	5	Sep-14		
Corporate Equality	Review	3	1	3	18	10	Oct-14		
Tourist Information Centre	Review	4	1	2	19	5	Dec-14		
Members (allowances/Interests/Support/hospitality	Review	4	1	2	19	15	Feb-15		
Corporate Complaints	Review	3	2	2	19	4	Mar-15	Requsted by EHL as having issues	
Pest Control and Public Health Burial	Review	4	1	1	17	10	May-15		
Community Enforcement	Review	2	1	3	15	10	May-15		
Electoral Computer Sys. Personal Loans	Computer	3	1	1	14	5	Jul-15		
Personal Loans	Review	2	2	2	16	10	Aug 15		
Printing Services	Review	3	1	2	16	5	Nov-15		
Customer Contact Centre	Computer	1	3	3	18	10	Dec-15		
Economic Development	Review	3	1	2	16	15	Sep-16		
Car Loans/Leasing	Review	3	1	2	16	8	Oct-16		
						925			
Risk		Internal Co	i ontrol Scor	Έ			•••••		
8 - 20 Low			nt internal c						
21 - 32 Medium		2 - Good c		011010					
33 - 40 High			ite controls						
		4 - Unsatis	factory cont	rols					
Transaction Score		.	ssues raised		 wn)				
1 - Under £5k			[
2 - £5001-£25000		System Cl	hange Scor						
3 - £25001 - £200000	}	1 - Not subject to frequent of major change							
4 - £200001 - £500000	}	2 - Subject to infrequent or minor change							
5 - 0ver £500000	}	3 - Subject to average change							
	4 - Subject to frequent minor/infrequent major changes								
		5 - Subject							